

4th Annual HBCU Tech Law Summit
&
19th Annual IP and Social Justice CLE Seminar

Cryptocurrency, Cybersecurity, Covid-19 and other Hot Topics



TIFFANY SMITH



KATINA WALLACE



KEMBA WALDEN



OLADOYIN OLANREWAJU



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Tiffany Smith

Tiffany J. Smith has over a decade of experience advising and representing broker-dealers and other financial institutions, including financial technology companies, regarding compliance with the federal securities laws and regulations and the rules of the self-regulatory organizations. Ms. Smith's practice primarily focuses on four areas: (i) broker-dealer regulatory compliance, (ii) cryptocurrency regulatory compliance, (iii) representing clients in connection with enforcement matters and regulatory examinations, and (iv) providing regulatory advice in connection with corporate transactions.

With respect to broker-dealer regulatory compliance, Ms. Smith often advises clients on newly adopted rules and areas of increased regulatory focus, including the rules on market access, securities trading and market activities, short sales, and issuer repurchases. Ms. Smith also has experience advising clients on the regulatory implications of new and emerging technologies (e.g., blockchain) and helping clients navigate the regulatory hurdles associated with these technologies. In addition, she counsels clients on triggers for broker-dealer registration, including those related to offshore activities and those related to the activities of financial technology companies. Finally, Ms. Smith has helped clients comment on proposed Securities and Exchange Commission (SEC) and Financial Industry Regulatory Authority (FINRA) rules, and represented clients seeking relief from adopted rules through the SEC and FINRA exemptive relief processes and the SEC no-action process.

With respect to cryptocurrency regulatory compliance, Ms. Smith regularly advises cryptocurrency market participants including exchanges, custodians, NFT issuers and platforms, and technology providers. She has experience advising firms on the applicability of regulations to products, developing compliance programs and procedures, and advising clients through licensing processes including with state and federal banking regulators and the SEC.

Ms. Smith has experience representing clients in connection with regulatory examinations, SEC, FINRA and state enforcement matters and inquiries, and the FINRA MC-400 Membership Continuance Process; and conducting internal investigations.

Ms. Smith often serves as regulatory counsel in connection with corporate transactions involving broker-dealers, performs due diligence in connection with investments in cryptocurrency firms and NFT platforms, advises clients through the FINRA new and continuing member application processes, and counsels clients on the triggers for filing a FINRA continuing member application.

At the firm, Ms. Smith has been active on the Diversity Committee, Hiring Committee, Pro Bono and Community Service Committee, and various volunteer-based initiatives. From 2016–2019, she was co-chair of firm's New York summer associate program.

While in law school, Ms. Smith was a Compliance Audit Specialist for M&T Securities. She audited personnel to ensure compliance with internal, federal and self-regulatory organization

rules, and held the following securities licenses: Series 6, 7, 24, 53, 63 and 65. Ms. Smith also interned at the Investment Management Division of the SEC and the Litigation Department at FINRA.

Katina Wallace

Katina is a customer-focused business leader of 23+ years, leading complex global programs that drive organizational transformation and growth through managing Program, Change and Risk Management initiatives. A trusted, and detail-oriented solutions driver who bridges the gap between business and technology to improve customer experience and relationship builder with executive-level business decision-makers.

Katina is a North Carolina Agricultural and Technical State University, Alumni and is currently completing a Master of Business Administration degree with Western Governors University. In pursuit of life-long learning, Katina has achieved many professional designations to include PROSCI, Six Sigma, ITIL, MOF, and CSP- Certified Public Speaking. In addition to ongoing self-enrichment, Katina's passion extends to helping others as Founder/CEO of Imagenthusiast, through mentorship and brand development.

Katina is Native North Carolinian, residing in Charlotte, and is dedicated to serving the community through philanthropic outreach, mentorship, image development, and the opportunity to energetically impact the world!

Kemba Walden

Kemba Eneas Walden is an Assistant General Counsel in Microsoft's Digital Crimes Unit (DCU) responsible for launching and leading DCU's Ransomware Program. Kemba also serves as a working group co-chair of the Ransomware Task Force and contributed to its report. Kemba started her career at Microsoft as Senior Counsel for Cyber and Democracy providing legal counsel to the Defending Democracy Program through the 2020 Presidential Election. Prior to Microsoft, Kemba spent a decade in government service at the Department of Homeland Security. At DHS, Kemba held several attorney roles, specifically as the lead attorney for the DHS representative to the Committee on Foreign Investment in the United States (CFIUS) and then as a cybersecurity attorney for the newly created Cybersecurity and Infrastructure Security Agency (CISA), and its predecessor, which is responsible for cybersecurity, telecommunications, and infrastructure resilience. Kemba negotiated complex data protection, information sharing, risk mitigation, and national security agreements, supported DHS's cybersecurity and risk management efforts in several critical infrastructure sectors. Upon her departure from DHS, her energy was spent as the primary cybersecurity legal advisor to the Elections Task Force (now known as the Elections Security Initiative).

In addition to her work at Microsoft, Kemba was appointed to the inaugural Cyber Safety Review Board and serves as an Adjunct Professor at Georgetown University in the School of Continuing Studies teaching a course entitled "Information Security Laws and Regulatory Policy." Kemba also serves on the Advisory Committee to the American Bar Association Standing Committee on Law and National Security during the 2021-2022 Bar Year.

Kemba graduated from Hampton University in Hampton, Virginia with a B.A. in Political Science, from Princeton University's School of Public and International Affairs with a Master's in Public Affairs, and from Georgetown University Law Center.

Oladoyin Olanrewaju

Oladoyin Olanrewaju supports cybersecurity and privacy regulatory compliance, incident preparedness, incident response and transactional matters for clients.

Oladoyin advises clients with identifying, remediating, and mitigating cyber security incidents and threats. Oladoyin manages clients in their data breach investigations including advising clients on data breach notification responsibilities and providing tactical and strategic advice on how to manage cybersecurity risks.

Oladoyin assists clients in developing the tools they need to enhance their data privacy and security profile and build comprehensive global data protection programs. She provides guidance on issues relating to numerous privacy and cybersecurity laws, including the California Consumer Privacy Act (CCPA), the California Privacy Rights Act (CPRA), the Colorado Privacy Act, the Virginia Consumer Data Protection Act (VCDPA), state data breach notification laws and Europe's General Data Protection Regulation (GDPR).